

# Glottal Mixture Model (GLOMM) for Speaker Identification on Telephone Channels

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**Abstract**—The Glottal Mixture Model (GLOMM) extracts speaker-dependent voice source information from speech data. It has previously been shown to provide speaker identification performance on clean speech comparable to universal background model (UBM), a state of the art method based on MFCC. And, when combined with UBM, the error rate was reduced by a factor of three, showing that the voice source information is largely independent of the information contained in the MFCC, yet holds as much speaker-related information. GLOMM failed, however, when presented with telephone quality audio. We now describe how GLOMM can be adapted for telephone quality audio and provide significant error reduction when combined with UBM and I-vector approaches. We demonstrate a factor of two error reduction on the NTIMIT data set with respect to the best published results.

## I. INTRODUCTION AND PREVIOUS WORK

Recent work in speaker identification relies on front-end processing that extracts short-time spectral information, usually using the MEL frequency cepstral coefficient (MFCC) features [1]. MFCC is the most prevalent short-term spectral feature despite the fact that it was developed for speaker-independent speech recognition. It is logical, then, that much speaker-related information is missing from MFCC. Attempts to add voice source information back into speaker identification systems to improve them have met limited success [1], [2], [3], [4], probably due to the difficulty and reliability of estimating the voice source waveform itself. We previously introduced the GLOMM method [5], which was based on detecting glottal events (glottal opening/closing) by detecting times of high linear prediction error. Rather than attempt to reconstruct the glottal source waveform accurately by separately modeling open and closed glottis regions [6], [7], GLOMM simply models the data as a recurrent pulse shape (located at the glottal event times) driving a (slowly) time-varying all-pole filter. The filter (linear prediction coefficients) and the recurrent pulse shape are estimated in alternation. In this paper, we review GLOMM and describe the algorithm changes that were required for the method to work on telephone audio.

## II. REVIEW OF GLOMM

The most basic principle in voice source estimation is linear prediction [3]. The linear predictive coding (LPC) coefficients are readily estimated using classical methods. In addition to providing a good approximation to the vocal tract filter (VTF), the prediction error waveform is a first-order approximation to the voice source waveform (VSW), which approximates the derivative of the glottal flow [2]. Refer to Figure 1, which is a

block-diagram of GLOMM. Parameters are shown in slanted parallelograms and processing is shown as boxes. There are two sections, a frame-based section, and a time-series based section. Any parameter or processing shown in the frame-based part is repeated individually for each frame. Conversion from frame-based to time-series is done using overlap-add.

We now describe the algorithm and note where it differs from the original GLOMM algorithm for clean speech [5]. Refer to Figure 1. The input data is first segmented into overlapped Hanning-weighted frames suitable for lossless re-combination with overlap-add. We then obtain an initial estimate of LPC coefficients in each frame using classical methods (autocorrelation/Levinson [8]). Using these LPC coefficients, the data in each frame is whitened in the frequency domain by multiplying the DFT of the data by the DFT of the LPC coefficients. Then, the negative frequency bins (those between  $N/2$  and  $N$ ) are set to zero before the inverse DFT is computed. This results in frames of complex (analytic) whitened time-series and is represented as “Hilbert transform” in the block diagram. The frames are then re-combined into a (complex) time-series using overlap-add to obtain the complex analytic linear prediction error time-series (LPETS), which brings us into the time-series-based part of the block diagram. We then take the absolute value of the complex LPETS, resulting in a real positive-valued envelope. Potential glottal events are found by peak-picking the LPETS envelope. Processing up to this point is the same as GLOMM for clean speech (See Section II.B of [5]).

Because glottal peaks in degraded audio are more difficult to locate, we implemented peak tracking. The purpose of peak tracking is to validate the detected peak times and remove detections that are not consistent with the measured autocorrelation function. This is a new component of GLOMM is explained in detail in Section III-B. Next, we collect glottal windows by extracting a window of LPETS centered at each glottal event (See Section III-C). The glottal windows are the effective output of GLOMM and are used much like MFCC features are used in speaker identification. But, since in the first pass, the glottal windows are only based on the initial LPC coefficients, which are biased, the GLOMM algorithm must iterate a few times before the glottal windows are suitable to be used for speaker identification. To account for phase distortions in degraded audio, we added an additional step of phase correction which is detailed in Section III-C.

The process of re-estimating the LPC coefficients begins with distilling the glottal windows into a set of parameters that can be used to create a synthetic voice source waveform (See

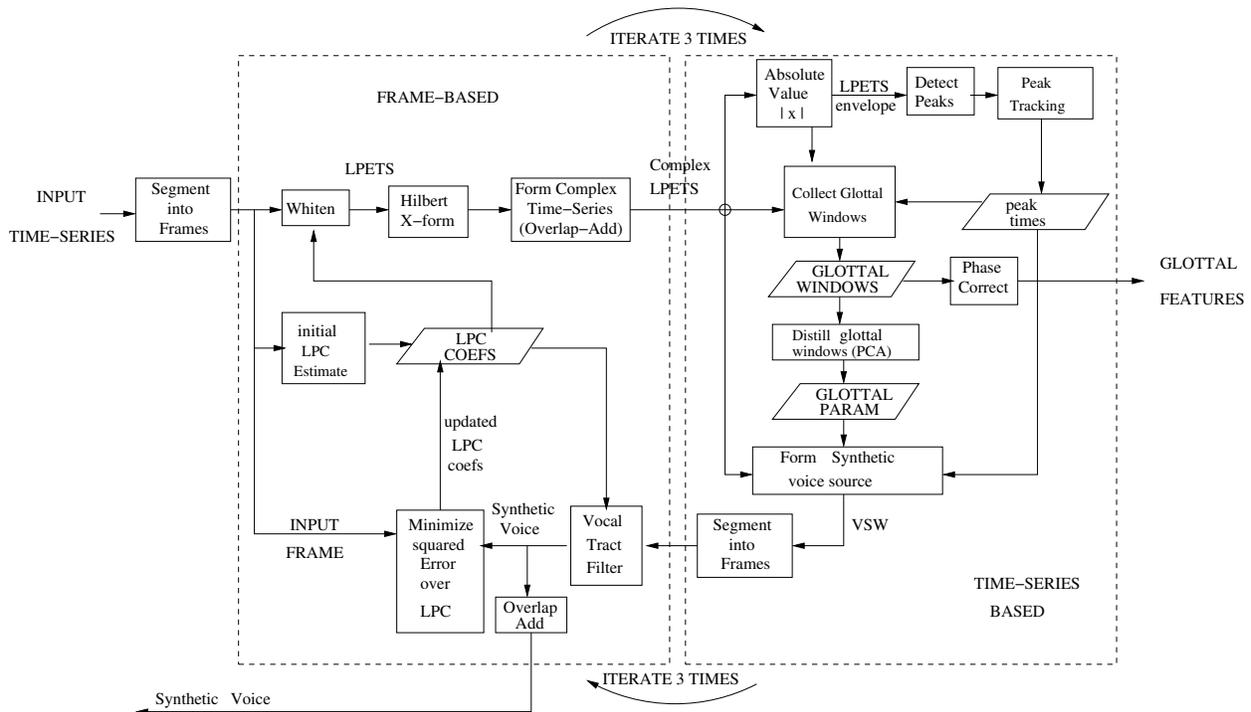


Fig. 1. GLOMM block diagram.

Section III-D). In the next step, we form a synthetic voice source based on the distilled glottal parameters by inserting a synthetic glottal pulse at the location of each detected glottal event. The synthetic voice source time-series is then segmented again into frames and then processed by the vocal tract filter, which is the all-pole filter formed from the LPC coefficients. The result is a synthetic approximation to the input time-series. The updated LPC coefficients in each frame are obtained by minimizing the modeling error between the synthetic voice source time-series and the input data. The steps of creating the synthetic voice source and optimizing the LPC coefficients is explained in Section III-E. Once the new LPC coefficients are obtained, the algorithm repeats with the "Whiten" block. This is repeated three times.

### III. GLOMM FOR DEGRADED AUDIO

#### A. Effects of degraded audio

In telephone and other voice communications channels, the reliability of glottal pulse detection is reduced due to amplitude distortion, phase reversals, and high-pass filtering (loss of  $f_0$  pitch fundamental). Despite these effects, the pitch frequency can still be deduced from the spectral lines separated by  $f_0$ , and seen as peaks in the auto-correlation separated by  $1/f_0$ . Therefore, the glottal pulses, although weaker and not as sharp, are still present, and are repeating at the  $1/f_0$  period. Our approach is to detect peaks with a lower threshold, and then reject those detections that fail to repeat at  $1/f_0$ . This idea is implemented by glottal peak tracking.

#### B. Glottal Peak Tracking by ACF validation

The purpose of peak tracking is to eliminate detections that are inconsistent with the measured auto-correlation func-

tion (ACF), using a method developed for the detection of repeating clicks from marine mammals [9]. To demonstrate peak tracking, we used a recording of degraded audio from the RATS corpus [10], "H" channel, which is an amplitude-modulated radio channel exhibiting amplitude compression. Refer to Figure 2. In Figure 2-(1), we see the LPETS envelope, with red circles drawn at the detected peaks. Figure 2-(3) is the normalized ACF for three frames spanning the illustrated time segment. A clear peak can be seen at 6 ms and a weaker ACF peak at 3.5 ms due to the interaction between the glottal closure and glottal opening. In Figure 2-(1), there are a total of 12 detected "glottal" peaks. One of them is invalid. We have indicated with letter "A" the location of the "correct" peak, which is slightly after the invalid detection, but is more consistent with the surrounding periods. The valid detection was not detected by the peak-picking algorithm. In Figure 2-(2), there is an intensity plot of the  $12 \times 12$  ACF pairing matrix  $A_{i,j} = r(\tau_{i,j})/r(0)$ , where  $r(\tau_{i,j})$  is the ACF at the lag time equal to the time spacing between the detections. It is used to approximate the probability that detection "i" can be paired with detection "j". For example, notice that a bright value is indicated for pairing detection 4 with detection 6. This value is determined by looking at the difference in time between detections 4 and 6, then going to the ACF plot and choosing that ACF value. The difference in time between detections 4 and 6 is 6 ms, which corresponds to an ACF value of 0.5. This is also true of detections 5 and 7, and detections 6 and 8, etc.

The optimal assignment algorithm requires an assignment "error" for pairing detections  $i$  and  $j$  [9], which is set to  $E_{i,j} = -\log(r(\tau_{i,j})/r(0)) = -\log(A_{i,j})$ . The algorithm [9] finds the unique set of pairings that globally minimize the total error. In Figure 2-(4), we see the automatically-generated

pairings. Here you see one sequence created from detections 1,3,5,7,9,11, and another from detections 4,6,8,12 - one set is glottal openings, and the other is glottal closings. Note that the invalid detection (number 10) has been skipped. Instead, detections 8 and 12 have been connected because their time separation coincides with an ACF lag of 12 ms, which has a high ACF value. All detection that are not paired will be dropped.

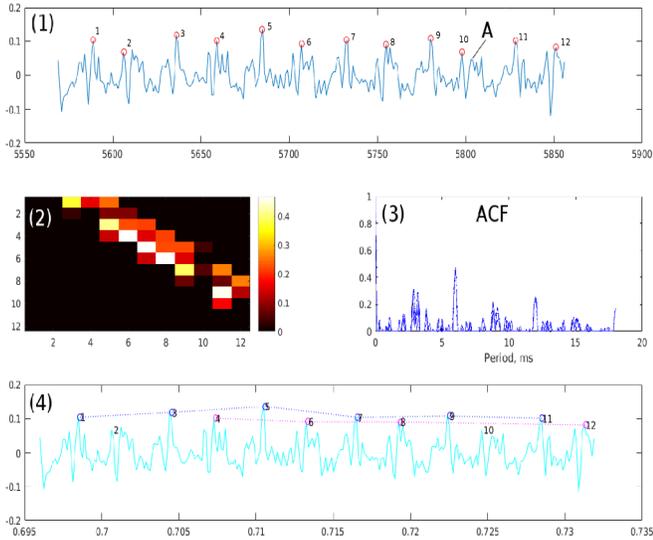


Fig. 2. Illustration of click tracking using a section of data from RATS “H” channel. (1) LPETS envelope with detections, (2) normalized ACF values for each detection pair, (3) normalized ACF, (4) LPETS envelope with associated detection groups.

### C. Glottal Window Collection and Phase Correction

Glottal windows are time windows of LPETS centered on each detected glottal pulse. All glottal windows are vernier time-corrected so that the waveform has an amplitude peak precisely at the  $Q + 1$ -th sample, where  $2Q + 1$  is the total window length (Section II.C of [5]).

For degraded audio, we added the step of phase correction. To phase correct, we divided each complex glottal window by its value at the  $Q + 1$ -th sample, so it will always have precisely value 1 there, with imaginary part zero. In Figure 3, we show glottal windows from speaker “fadg0” (real part) without phase correction. Each glottal pulse is shown as an intensity-modulated column in the vertical direction. Note that here the glottal window half-size is  $Q = 52$  and the glottal pulses all have a (amplitude) peak at sample 53. There appears to be a wide variation in the glottal pulse shape. However, on closer inspection, we see this is due to phase reversals that are common in the older analog two-wire telephone circuits used to create NTIMIT. For the clean TIMIT corpus, such phase variations were not noticed. In Figure 4, we see the corresponding phase-corrected glottal windows (real part). The glottal windows look all of a sudden much more consistent. And, when comparing with Figure 5, which is from speaker “falk0”, we see that the two speakers have distinct characteristics. It is logical, then, that the glottal windows themselves can serve as a feature, similar to MFCC.

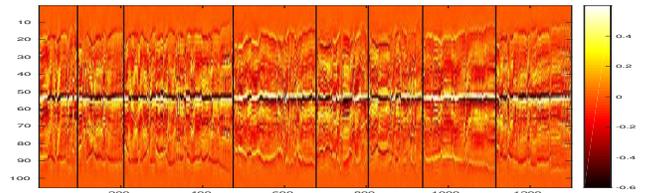


Fig. 3. Glottal windows from speaker “fadg0” - no phase correction.

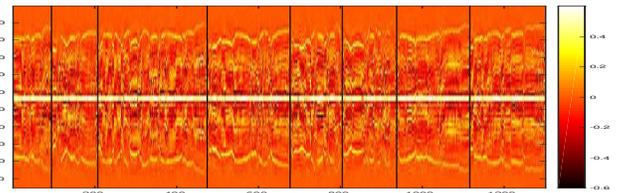


Fig. 4. Glottal windows from speaker “fadg0” - with phase correction.

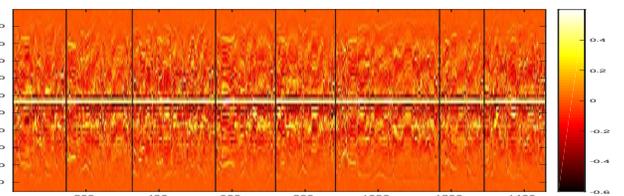


Fig. 5. Glottal windows from speaker “falk0” - with phase correction.

### D. Distilling Glottal Windows

By the word “distilling”, we mean the process of extracting a set of meaningful parameters that describe the collected glottal windows. In the original GLOMM algorithm, distilling included PCA analysis and GMM clustering (See Section II.C of [5]). We have since dropped the GMM clustering step in favor of just doing PCA. Suppose there are  $K$  detected glottal pulses. Let  $\mathbf{Z}$  be the  $(2Q + 1) \times K$  matrix of complex glottal windows (real part of  $\mathbf{Z}$  is shown in Figures 4 and 5). Let matrix  $\mathbf{U}$  be the  $(2Q + 1) \times P_s$  matrix formed from the largest  $P_s$  singular vectors of  $\mathbf{Z}$ , where  $P_s = 2$ . Matrix  $\mathbf{U}$  constitutes the “distilled” glottal parameters.

### E. Synthetic Voice Source and LPC optimization

To create the synthetic voice source waveform (VSW), we place a “synthetic” pulse at the time of each detected glottal event, with amplitude consistent with the LPETS. Therefore, the synthetic VSW approximates the LPETS using pre-determined pulse shapes that are obtained from distilled glottal windows. GLOMM for clean speech [5] constructed the VSW from the cluster centers obtained from glottal pulse clustering. For degraded audio, we have removed glottal pulse clustering and have replaced it with the simpler PCA. Let  $\mathbf{z}_k$  be a time-window from LPETS centered at detected glottal pulse  $k$  (i.e. a single column of  $\mathbf{Z}$ ). Then,  $\mathbf{s}_k = \mathbf{U}(\mathbf{U}'\mathbf{z}_k)$  is  $\mathbf{z}_k$  projected onto  $\mathbf{U}$  and constitutes the “synthetic” replacement for  $\mathbf{z}_k$ . The entire VSW time-series is constructed by the superposition of  $\mathbf{s}_1 \dots \mathbf{s}_K$ , each time-shifted to the corresponding detection time. The completed VSW is then segmented into frames.

In the final step, the LPC coefficients in each frame are

individually optimized so that the VSW passed through the vocal tract filter matches the corresponding input data frame as close as possible (model-based measure of fit). Further details are given in Section II.E of [5].

#### F. Classifier Approach

The original GLOMM classification method is described in Section II.G of [5] and used the model-based measure of fit mentioned in the previous section as a classification statistic. In GLOMM for degraded audio, we do not use the GLOMM algorithm as a classifier. Rather, we use the extracted phase-corrected glottal windows (real part) as features, much like MFCC is used. We then apply speaker/channel separation methods and dimension reduction methods including UBM [11], JFA [12], and I-Vector [13].

### IV. EXPERIMENTS

We conducted experiments using classifiers based on three feature extraction methods: GLOMM, MFCC, and PITCH, which are described in the next sections. We then combined the outputs of the three classifiers linearly.

#### A. GLOMM feature extractor and classifier

The GLOMM algorithm is described in [5] and its modifications for degraded audio are described above. We used the following parameter settings (See [5] for variable definitions):

- 1) LPC model order  $P = 12$ .
- 2) FFT size (at 8 kHz sample rate)  $N_{FFT} = 336$ .
- 3) Glottal data window length = 13 milliseconds, which for 8000 Hz sample rate results in a glottal window half-size of  $Q = 52$ .
- 4) Glottal window PCA dimension 2.
- 5) Null assignment cost for Jonker-Volgenant algorithm  $p = 2.4$  (See eq. (1) and Section III.B in [9]).

To classify using the GLOMM features, we trained a 28-component UBM on the glottal window data from all speakers (training files). We then enrolled the UBM using data from each speaker.

#### B. MFCC classifier

We extracted 19-dimensional MFCC with the HTK toolkit [14] using a 25 millisecond window with 10 millisecond frame rate. The HTK configuration parameters are TARGETRATE = 100000, WINDOWSIZE = 250000, PREEMCOEF = 0.96, CEPLIFTER = 22, NUMCHANS = 20, NUMCEPS = 19, DELTAWINDOW = 3, ENORMALISE = F, SOURCERATE=1250, SAVECOMPRESSED = T, SAVEWITHCRC = F, USEHAMMING = T, LOFREQ = 300, HIFREQ = 3400, TARGETKIND = MFCC. Using these MFCC features, we tried three MFCC classifiers: UBM [11], JFA [12], and I-Vector [13]. The parameters of the classifiers were individually optimized. The UBM classifier used a 192-component mixture. The JFA had an eigenvoice dimension of 75 and an eigenchannel dimension of 14. The I-vector classifier used an I-vector dimension of 225, with a 68-dimensional LDA space.

#### C. PITCH classifier

To estimate pitch, we divided the data into overlapped Hanning-weighted frames, then extracted pitch information from each frame by peak-picking the autocorrelation function (ACF) for the highest peak in the range of human pitch. From each frame, we measured pitch period  $\tau$  and amplitude  $a = r_\tau/r_0$ , which was less than 1. To train on a given speaker, we fit a 1-dimensional Gaussian mixture (essentially a smoothed histogram) to the values  $\tau$ , using  $a$  as a sample weight. Since each speaker presumably had a different distribution of pitch estimates, we were not concerned with errors associated with choosing the ACF peak at  $2f_0$  or  $f_0/2$ . To classify using pitch, we evaluated the GMM on the pitch data extracted from the training utterance, adding up the log-likelihood weighted by the sample weights  $a$ .

#### D. Combined Classifier

We combined the classifiers using

$$L = L_{\text{MFCC}} + \beta_1 L_{\text{GLOMM}} + \beta_2 L_{\text{PITCH}}.$$

We then determined classification error as a function of  $\beta_1$  and  $\beta_2$ .

#### E. NTIMIT Data

The NTIMIT speech recognition corpus is a re-processing of the TIMIT corpus through telephone circuits. It consists of 630 male and female speakers, each having 10 utterances, averaging about 3 seconds each, and divided into eight ‘‘SX’’ and ‘‘SI’’ utterances and two ‘‘SA’’ utterances. In the speaker identification experiments, we trained on all eight ‘‘SX’’ and ‘‘SI’’ utterances and tested on the ‘‘SA’’ utterances. We used no voice activity detection, always using the complete utterances. All data was down-sampled to 8 kHz, since in NTIMIT there is no activity above 4 kHz.

We conducted a 10-speaker and a 630-speaker experiment. In the 10-speaker experiment, we used 500 independent trials. In each trial, we chose 10 speakers at random from the 630 available. Then we formed a  $10 \times 10$  classification experiment, testing each of the 2 test utterances from each speaker. There were therefore 20 individual classification decisions per trial, or 10,000 individual classification decisions total. In the 630-speaker experiment, there was one trial with 2 test utterances per speaker, so a total of  $2 \times 630 = 1260$  decisions.

#### F. Results

For 10-speakers, the individual classifiers attained the following classification error in percent): MFCC/UBM 5.73%, MFCC/JFA 5.36%, MFCC/I-vector 4.81%, PITCH 30.82%, GLOMM/UBM 16.51%. The combined classifier (MFCC/I-vector + PITCH + GLOMM) attained 2.2% (See Figure 6, left). Just MFCC/I-vector + PITCH got 2.97%, and MFCC/I-vector + GLOMM attained 2.61%.

For 630 speakers, the combined classifier (MFCC/I-vector + PITCH + GLOMM) attained 27.85% error (See Figure 6, right). Just MFCC/I-vector + PITCH got 33.9%, and MFCC/I-vector + GLOMM attained 30.4%.

We compare these results with existing results available in the literature [15], [16], [17], [18] in Figure 7. We can conclude

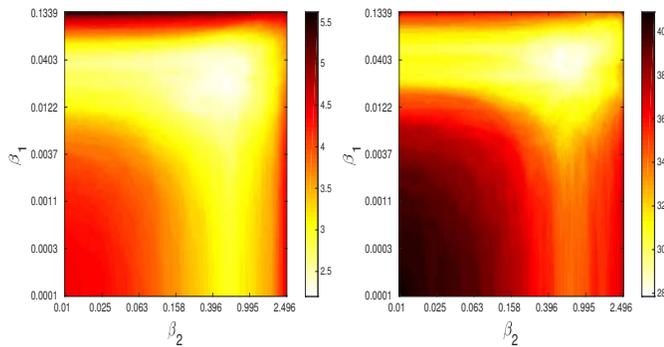


Fig. 6. Combination results GLOMM + PITCH + UBM. Left: Ten speakers. Right: 630 speakers.

that the MFCC-only results are comparable with the existing results, both at 10 and 630 speakers. The combined classifiers greatly out-perform the published results.

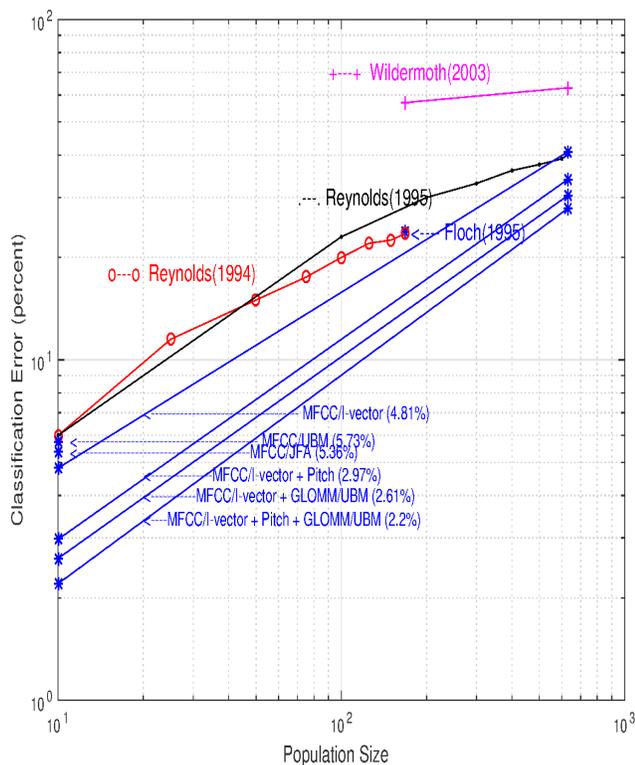


Fig. 7. Speaker identification results on NTIMIT: Comparison with published results

## V. CONCLUSIONS

In this paper, we have made GLOMM robust against distortions present in telephone quality audio using glottal window phase correction and glottal pulse tracking. In doing so, we simplified the GLOMM classifier, using the extracted glottal windows much like MFCC features. We have shown that a hybrid classifier that linearly combines classifiers based on GLOMM, PITCH, and MFCC features attains 2.2% error for a 10-speaker population, at least a factor of two better

than the best previously-published results. At 630 speakers, it attained 27.85% error, bettering the performance of 39% error reported by Reynolds.

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